

1 **Cervantes-Henríquez, M. L., Acosta-López, J. E., Martínez-Banfi, M. L., Vélez, J. I., Mejía-**
2 **Segura, E., Lozano-Gutiérrez, S. G., Sánchez-Rojas M., Zurbarán M. A, Zurek E. E., Arcos-**
3 **Burgos M., Pineda, D. A. & Puentes-Rozo1 P. J. (2018). ADHD Endophenotypes in Caribbean**
4 **Families. *Journal of attention disorders*, 1087054718763741.**
5

6 **INTRODUCTION**

7 Attention deficit/hyperactivity disorder (ADHD, OMIM 143465) is a phenotypically complex(Acosta,
8 Arcos-Burgos, & Muenke, 2004) and highly prevalent neurodevelopmental disorder that affects 10-
9 17% of children and adolescents worldwide,(Acosta et al., 2011; Arcos-Burgos et al., 2010; Bukstein,
10 2012; Jain et al., 2011; Pelham & Fabiano, 2008; Visser, Bitsko, Danielson, & Perou, 2010) and
11 frequently persisting into adulthood.(Sibley et al., 2012) Heritability estimates indicate that ADHD
12 symptoms are highly heritable ($h^2=0.85-0.90$),(Rhee, Waldman, Hay, & Levy, 1999) and that offspring
13 of ADHD affected individuals are six to eight times more likely to develop the condition than those of
14 unaffected individuals.(Biederman & Faraone, 2005) Studies that have ascertained nuclear, extended,
15 and multigenerational families from ADHD affected probands, and clustering several ADHD affected
16 family members, demonstrated that families are an effective resource to define the genetic basis of
17 ADHD.(Arcos-Burgos et al., 2002; Cannon, Gasperoni, van Erp, & Rosso, 2001; Castellanos &
18 Tannock, 2002)

19 The term endophenotypes was first coined ~50 years ago to explain insects' evolution,(John &
20 Lewis, 1966) and introduced to psychiatry by Gottesman & Shields in 1967.(Gottesman & Shields,
21 1967) In complex neuropsychiatric conditions such as ADHD, endophenotypes might be defined as

22 neuropsychological, behavioural, cognitive or neuroanatomical quantitative “measurable components”
23 associated/correlated with the disorder(Castellanos & Tannock, 2002; Miller & Rockstroh, 2016;
24 Walters & Owen, 2007) that occur at a higher frequency in individuals with the disease than in the
25 general population, are heritable, state-independent (that is, manifest in individuals whether the illness
26 is active), tend to co-segregate with the illness within families, and lie in the causal pathway between
27 gene and disease.(Flint & Munafo, 2007; Lee Gregory, Burton, Shapiro, Rowland, & Coyle, 2015;
28 Walters & Owen, 2007) Given that endophenotypes are in general continuous variables instead of
29 categorical traits, do not depend of the inherent difficulties of a symptoms-based clinical diagnosis, and
30 have the ability to differentiate between potential diagnoses that present with similar
31 symptoms,(Brotman et al., 2008; Gottesman & Gould, 2003) it has been hypothesised that they are well
32 suited to study the genetic and neurophysiological basis of psychiatric traits such as
33 ADHD.(Castellanos & Tannock, 2002; Mastronardi et al., 2016; Pineda et al., 2011; Sibley et al.)

34 Several ADHD studies have identified potential cognitive endophenotypes in
35 neuropsychological tasks such as continuous vigilance, inhibitory control, alteration of temporal
36 perception, delay aversion, working memory alterations, interval timing deficits, fluid intelligence to
37 sustained attention and visual-motor skills.(Acosta-López et al., 2010; Castellanos & Tannock, 2002;
38 Henriquez-Henriquez et al., 2014; Pironti et al., 2014)·(Hwang-Gu & Gau, 2015)·(Mastronardi et al.,
39 2016) Recently, we and others have identified ADHD endophenotypes in families ascertained from two
40 well characterized genetic isolates, the *Paisa* community in Antioquia, Colombia,(Mastronardi et al.,
41 2016)·(Pineda et al., 2011) and the Central Valley in Costa Rica.(Peskin et al., 2015)·(Arcos-Burgos &

42 Muenke, 2002) In these studies, several measures of cognitive intelligence, attention, visual and motor
43 skills, verbal coefficient, sustained visual attention, and visuospatial problem resolution reported high
44 heritability values and strong association to the ADHD status.(Pineda et al., 2011)(Peskin et al., 2015)
45 These initial findings support and confirm both: the heterogeneity and complexity of ADHD, as a
46 syndrome and from the cognitive point of view,(Acosta et al., 2004)(Pennington, 2006; E. J. Sonuga-
47 Barke, Sergeant, Nigg, & Willcutt, 2008; Willcutt, Pennington, et al., 2010) and the important role that
48 genetic factors play in the aetiology of this neuropsychiatric condition.(Mastronardi et al.,
49 2016)(Barkley., 1997; Doyle et al., 2005; Nigg, 2001; Willcutt, Betjemann, et al., 2010; Willcutt,
50 Pennington, et al., 2010)

51 The racial composition in Latin-America is extremely complex and geographically
52 heterogeneous as well as it is the cultural heritage.(Sibley et al.) It is well known that Colombia was
53 colonized by Spaniards with variable geographical proportions of genetic admixture with the aboriginal
54 Amerindian populations. This racial and genetic conundrum was later convoluted by the arrival of
55 African populations as consequence of the slaves trading. The racial admixture in Colombia was more
56 pronounced in communities inhabiting the Caribbean coast that had a strong influx of African
57 populations arriving to Cartagena, one of the main trade centres of slaves.(Sibley et al.; Villalón, 2008)
58 Further, earlier in the XX century, the arrival of Arabian populations to the Caribbean brought more
59 diversity to these communities' gene pool. Thus, this differential pattern of admixture that happens in
60 the Colombian Caribbean coast shaped the culture and genetic population structure in a particular and

61 differential way when compared to other regions of the country.(Barragán-Duarte, 2007; Sibley et al.;
62 Villalón, 2008).

63 In this study, we explored the definition of ADHD cognitive endophenotypes in a family-based
64 sample of 408 individuals ascertained from a community inhabiting the city of Barranquilla, Colombia.
65 With a population of ~2.4 million where many populations that settled the Atlantic coast
66 converge,(Villalón, 2008) Barranquilla is the biggest city in the Colombian Caribbean coast. Our
67 overarching hypothesis was that there were racial and community specific endophenotypes able to
68 represent a significant variance of the ADHD symptomatology and subtypes, and of the genetics
69 underpinning ADHD susceptibility.

70 **SUBJECTS AND METHODS**

71 **Subjects**

72 Four-hundred and eight individuals belonging to 120 nuclear families from Barranquilla, Colombia and
73 its metropolitan area, with at least a single ADHD affected individual, were recruited in this study.
74 Barranquilla is a modern city of ~2.4 million people located in the Atlántico state, at the northern
75 Caribbean coast. The Barranquilla population is the result of a racial admixture between Aboriginal
76 Amerindian communities with Spaniards and Africans, and later with other communities (i.e., Syrian-
77 Lebanese, Sephardi Jews, Germans, Italians and Britons). (Villalón, 2008) Most of the families
78 belonged to medium socioeconomic stratum with an average monthly family income of ~US\$1,000-
79 3,000. All individuals in this study participated voluntarily and provided informed written consent
80 either directly or from their parents (in the case of children; <18 years old). This study was approved by
81 The Ethics Committee of Universidad Simón Bolívar at Barranquilla, Colombia (approval # 00032 of
82 October 13, 2011).

83 **Clinical assessment**

84 *ADHD diagnosis*

85 The Diagnostic Interview for Children and Adolescents version IV (DICA-IV)(Palacio et al., 2004;
86 Reich, 2000) was used as the Gold Standard to assess the ADHD diagnosis in children and adults. This
87 interview gathers information about patients from a systematic examination of symptoms, making use
88 of a binary classification system of symptoms (0 = absence; 1 = presence) to explore the
89 commencement and end of them to allow an optimal clinical evaluation. Among others, the DICA-IV

90 cover childhood disorders, mood, anxiety, nutritional behaviour, psychotic disorders, and psychosocial
91 stress -in conjunction, these areas allow the identification of ADHD and its inattentive, hyperactive and
92 inattentive/hyperactive (combined) subtypes. In the case of children and adolescents, the DICA-IV
93 structure interview was completed by children's parents who reported children's symptoms and
94 consequences in the academic, legal and work-related areas, as well as alcohol and tobacco
95 consumption and its consequences.(Palacio et al., 2004; Reich, 2000; Tacchini, Coppola, Musazzi,
96 Altamura, & Invernizzi, 1994) This information was subsequently used to define the index case
97 (proband). Presumptive ADHD diagnosis in children was assessed DICA-IV with a self-report
98 evaluating, retrospectively, parents' behaviour during grades 1 to 11.(Acosta-Lopez et al., 2013)
99 Persistent symptoms impacting family, social and work-related environments were also recorded.

100 The DICA-IV interview has successfully been used in Colombia by the Grupo de Neurociencias
101 de Antioquia in clinical and genetic studies of ADHD in the Paisa genetic isolate.(Palacio et al., 2004)
102 ADHD diagnosis were performed by two experienced neuropsychologists (PP-R and JA-L), who were
103 trained by a Child Psychiatrist (DPA) from the Grupo de Neurociencias de Antioquia until a κ
104 concordance coefficient > 0.9 was reached for ADHD, ODD and CD diagnoses, and $\kappa > 0.75$ for other
105 psychiatric diagnosis of the A criterion in the DSM-IV. The DICA-IV is highly reliable for each
106 diagnostic category (Crobach's $\alpha > 0.75$) as it has questions, counter questions, validation questions
107 and skip questions regarding every symptom of each criterion in every diagnostic category, in addition
108 to a series of standardised examples in each category, specially designed to determine burden criteria.
109 Following the C criteria of DSM-IV, ADHD symptoms in children and adolescents were evaluated by

110 their parents and teachers using the ADHD diagnosis was Colombian version of the Behavioural
111 Assessment System for Children (BASC),(Pineda, Kamphaus, et al., 1999) and the ADHD
112 checklist.(APA, 2000; DSM-IV, 2002)

113 ***Neurological evaluation***

114 Anamnesis of personal and familiar pathological events was assessed using a neurological interview,
115 which included prenatal, perinatal, childhood and language anomalies. First, mothers accompanied
116 children during the neurological interview to facilitate the interaction with the examiner during the
117 physical/neurological evaluation. Next, information about child's behaviour at home and at other
118 scenarios (social events, parties, birthdays, etc.) is obtained from the mother. Subsequently, a new
119 evaluation involving both parents is performed; information about parents' behaviour is obtained from
120 the child's grandparents by telephonic interview to retrospectively assess parents' hyperactivity,
121 inattention and impulsivity symptoms. Finally, a physical examination of senses, joints and
122 cardiopulmonary, digestive, reproductive and nervous systems is further performed to every child,
123 together with a neurological evaluation assessing cranial pairs, visual auditory syndromes, motor-
124 sensitive skills, muscular tone, reflexes and soft neurological signs.(Puentes Rozo, 2018)

125 ***Neuropsychological tests***

126 We used the mental control subtests of an adapted version of the Wechsler Memory Scale 3rd edition
127 (WMS-III),(Wechsler, 2004) in addition to the Wechsler Intelligence Scale for Children 3rd edition
128 (WISC-III)(Wechsler, 1991) for children between 6-16 years old, the short-version of the Wechsler
129 Adult Intelligence Scale 3rd edition (WAIS-III),(Wechsler, 2003) the Trail Making Test parts A and
130 B,(R. Reitan, 1958; R. M. Reitan, 1955; Ralph M Reitan & Wolfson, 1985, 1995, 2004) visuoverbal

131 memory test, the Rey-Osterrieth complex figure test (ROCFT) for copy and immediate
132 recall,(Osterriech, 1944) the Token test, phonological and semantic fluency verbal tests,(Franke et al.)
133 and the Wisconsin Card Sorting Test (WCST)(Heaton, Avitable, Grant, & Matthews, 1999) and
134 Stroop's words and colours test.(Golden, 1999) The full neuropsychological protocol is presented in
135 Supplementary Table 2. The vocabulary, comprehension, arithmetic, digits and analogies subtests of
136 the WISC-III/WAIS-III were used to assess verbal intelligence quotient (VIQ) and rule out mental
137 retardation, and the incomplete figures, block design, and symbol search and puzzle tests, were used to
138 assess the performance intelligence quotient.(Khodiyar et al.) A prorated full scale intelligence quotient
139 (FSIQ) was estimated.(Wechsler, 2004)(Wechsler, 1955)

140 Neurological and neuropsychological evaluations were performed at the Unit of Cognitive
141 Neurosciences of the Caribbean Group of Neurosciences, Simon Bolivar University, Barranquilla,
142 Colombia, in two sections of ~1.5 hours long each. To avoid any potential distraction or interference
143 during the clinical evaluation period, participants were evaluated in a room with constant illumination,
144 a temperature of 18°C and isolated from external noise.

145 Procedure

146 Eleven Spanish-speaking public schools located at disparate areas of Barranquilla, Colombia and its
147 metropolitan area were visited. These schools provide educational services to population of medium
148 (three to five) socioeconomic strata. This study was advertised in the Grupo Neurociencias del Caribe's
149 website. Out of the schools visited, seven agreed to participate in our study. Once their participation
150 was approved by delegated authorities, an informative meeting with teachers from each school to
151 explain the objectives and dynamic of the study took place. Subsequently, teachers provided a complete

152 list of children 6-11 years old (1st to 6th grades) attending their classes for the last six months, and
153 whom they would think could have any issue that may affect their academic performance or their
154 behaviour at school. Out of this list, we administered 845 checklist questionnaires(Pineda, Henao, et
155 al., 1999) to children, children's parents and teachers from these seven ascertained schools. A
156 georeferenced map showing the location of ascertained families is shown in Supplementary Figure 1.
157 Parents and other family members of children with scores higher than the 85th percentile in the
158 checklist (this value is an indicator of an ADHD positive diagnosis)(Pineda, Henao, et al., 1999) were
159 further assessed and provided with all relevant information about the study. ADHD diagnosis in family
160 members was assessed using the DICA-IV interview and the DSM-IV criteria.(Pineda, Henao, et al.,
161 1999) After reviewing both the clinical evaluation and the psychiatric interview, each individual's
162 diagnosis was discussed among a staff of well-experienced clinicians for confirmation. Our full
163 neuropsychological evaluation protocol is presented in Supplementary Table 2.

164 **Statistical analysis**

165 Measures of location and dispersion were employed to summarize continuous variables. Those
166 variables meeting the assumptions of normality and homogeneity of variance were compared using the
167 *t* test for independent samples or the nonparametric Mann–Whitney *U* test otherwise. Normality and
168 homogeneity of variance were tested with the Shapiro–Wilks and the Bartlett tests, respectively.
169 Uncorrected Cohen's *d* was calculated to measure the effect size for all variables. To avoid the effect of
170 potential confounding variables such as age and gender, *P*-values were corrected using analysis of

171 covariance (ANCOVA). Frequencies and proportions were estimated for categorical variables.
172 Categorical variables were compared using a χ^2 test.

173 We used Advanced Recursive Partitioning Approach (ARPA) to construct a predictive tree-
174 based model of ADHD status in our cohort. Gender, age and potential cognitive endophenotypes were
175 used as predictors. ARPA offers fast solutions to reveal hidden complex substructures and provides
176 non-biased statistical analyses of high dimensional seemingly unrelated data, and is widely used in
177 predictive analyses as it accounts for non-linear hidden interactions better than alternative methods and
178 is independent of the type of data and of the data distribution type.(Rao, 1998) ARPA was applied
179 using the Classification and Regression Tree (CART),(L. Breiman, Friedman, Olshen, & Stone, 1984)
180 Random Forest (L. Breiman, 2001; Satterfield, Cantwell, & Satterfield) and TreeNet(Friedman, 1999)
181 modules implemented in the Salford Predictive Modeller® software suite (Salford Systems, San Diego,
182 CA, USA). A short description of CART, RF and TreeNet is provided in the Supplementary Material.
183 The final model was chosen based on a battery performance measures presented in Supplementary
184 Table 1.

185 **Heritability estimation**

186 To estimate heritability of neurological and neuropsychological variables in our sample, the ASSOC
187 module in the Statistical Analysis of Genetic Epidemiology (SAGE) software(Elston & Gray-McGuire,
188 2004) Briefly, ASSOC evaluates the association between a continuous trait and one or more covariates
189 from pedigree data in the presence of familial correlations, and simultaneously estimates familial
190 variance components (and hence familial correlations and heritability)(Elston & Gray-McGuire, 2004)

191 Parameters in the segregation model evaluated by ASSOC are estimated by maximum likelihood under
192 the assumption that parameters follow multivariate normality.(Bochud, 2012; Elston & Gray-McGuire,
193 2004; R. C. Elston, J. M. Satagopan, & S. Sun, 2012; Robert C Elston, Jaya M Satagopan, & Shuying
194 Sun, 2012)

195 **RESULTS**

196 **Subjects**

197 Four hundred and eight individuals (175 [43%] females, 233 [57%] males) from 120 nuclear families
198 were included in this study (Table 1). Of those, 236 (57.84%) individuals were diagnosed as ADHD
199 affected (161 [68.2%] males, 75 [31.8%] females; 105 [44.5%] were diagnosed as ADHD inattentive,
200 32 [13.6%] as ADHD hyperactive, and 99 [41.9%] as ADHD combined type). No children or adults
201 were under medication. Among affected individuals, the estimated male-to-female ratio was 2.146
202 (95%CI = 1.65-2.85, $P < 0.001$). As expected, the ADHD diagnosis distribution differed by gender ($\chi^2 =$
203 27.16, degrees of freedom [df] = 1, $P = 1.87 \times 10^{-7}$). The average age at diagnosis in the whole sample
204 was 26.64 ± 15.5 (range: 6-60), and no statistically significant difference was found by gender (females:
205 25.34 ± 16.77 years; males: 28.37 ± 13.5 years; $W = 18707$, $P = 0.1537$). The average family size in the
206 120 nuclear families was 3.4 ± 0.64 individuals (range 3-6), with 80 (66.7%) trios, 34 (28.3%) quartets,
207 four (3.3%) families with five members and two (1.7%) families with six members. (Pineda et al., 2016;
208 Puentes Rozo, 2018) Furthermore, 32 (26.7%) families had one affected individual, 63 (52.5%) had
209 two, 21 (17.5%) families had three and 4 (3.3%) families had four affected individuals; 88 (73.3%)
210 families had more than one member affected with ADHD. Analyses of the probands' relatives ($n = 288$)
211 indicate that 120 of them are diagnosed with ADHD (77 males, 43 females, 41.6%), with an age at
212 diagnosis of 34.11 ± 12.04 , which differed between males and females (35.34 ± 13.05 vs. 32.83 ± 10.78 ,
213 $P < 0.0001$).

214

215

216 Neuropsychological differences between affected and unaffected individuals

217 We found statistically significant differences between ADHD affected and unaffected individuals after
218 controlling for age and gender in neurological and neuropsychological tasks measuring mental control,
219 visuospatial ability (i.e., ROCFT), visuooverbal memory, verbal fluency tasks (VFTs) by phonological
220 and semantic guidance, planning and abstraction (i.e., WCST), and intelligence (i.e., IQ in the WISC-
221 III and WAIS-III)(see Table 2 and Figure 1a). ADHD affected individuals had a lower score than
222 unaffected individuals in the Total 9/9 test (4.44 ± 2.54 vs. 5.93 ± 2.17 , $P=0.028$), and in the numbers
223 from 20 to 1 test (2.13 ± 0.99 vs. 2.55 ± 0.7 , $P=0.034$) of the mental control subtest. Likewise, ADHD
224 affected individuals had a lower score than unaffected individuals in the ROCF copy (20.65 ± 8.49 vs.
225 26.31 ± 6.5 , $P = 0.002$) and ROCF evocation (immediate recall; 9.15 ± 6.05 vs. 13.38 ± 6.16 , $P = 2.4 \times 10^{-5}$)
226 subtests, but not in the ROCF type (2.64 ± 1.53 vs. 1.62 ± 0.94 , $P = 0.018$) subtest or in the number of
227 attempts needed to accomplish the visuooverbal memory test (3.18 ± 1.77 vs. 2.7 ± 0.91 , $P = 0.027$).
228 Conversely, unaffected individuals obtained an average score higher than affected individuals in the
229 phonological VFTs (12.34 ± 9.84 vs. 16.81 ± 13.65 , $P = 0.024$), the 36/36 Token test (30.46 ± 3.66 vs.
230 32.04 ± 4.21 , $P = 0.001$), and correct answers (73.46 ± 23.69 vs. 80.9 ± 20.42 , $P=0.014$) of the WCST (i.e.,
231 planning and abstraction cognitive domain; see Supplementary Table 2). As expected, ADHD affected
232 individuals performed poorer than ADHD unaffected individuals in the number of errors (53.6 ± 23.21
233 vs. 46.58 ± 20.34 , $P=0.031$) and the number of correct answers at the conceptual level (58.26 ± 29.81 vs.
234 66.56 ± 27.4 , $P=0.044$) of the WCST. Analogously, ADHD affected individuals had lower performance

235 than unaffected individuals in the FSIQ of the WISC-III (children) and WAIS-III (adults) with low-to-
236 high effect sizes (Table 2 and Figure 1a).

237 **Heritability estimates**

238 Strong statistical evidence supporting genetics effects and hereditary transmission (measured by the
239 heritability parameter, h^2) was found in several neuropsychological variables (tasks) used to clinically
240 characterise our sample (Table 2 and Figure 1b). These variables include the score in the numbers 1 to
241 20 ($h^2=0.351$, $P = 0.006$) and alphabet errors ($h^2=0.546$, $P <0.00001$) measuring mental control; the
242 differed evocation at 20 test ($h^2=0.546$, $P <0.00001$) assessing visuo-verbal memory; *the total number of*
243 *errors* ($h^2=0.765$, $P <0.00001$) and perseverance ($h^2=0.546$, $P <0.00001$) assessing phonological
244 fluency; *the total number of errors* ($h^2=0.264$, $P=0.01$) and perseverance ($h^2=0.669$, $P <0.00001$)
245 subtests assessing semantic verbal fluency; the Token 36/36 test ($h^2=0.355$, $P=0.002$); and all but the
246 *figure completion test* ($h^2=0.176$, $P=0.094$) in the WAIS subtests, indicating that most of the measures
247 assessing FSIQ had significant heritability. Within the WAIS-III subtests, the highest heritability value
248 was estimated in the vocabulary test ($h^2=0.452$, $P=1.7 \times 10^{-4}$) and the minimum in the reverse digits test
249 ($h^2=0.171$, $P=0.048$). No significant genetic effects and hereditary transmission were found in
250 neuropsychological variables assessing ROCF, continuous auditory execution or the verbal semantics
251 (Table 2 and Figure 1b). Our results suggest both a simultaneous differential pattern in ADHD
252 diagnosis and genetic effects and hereditary transmission (significant heritability) in the numbers from
253 20 to 1 mental control subtest, the 36/36 Token test and in most of the WAIS-III subtests (see Table 2
254 and Figure 1c).

255 **Predictive model for ADHD diagnosis**

256 Based on the performance measures presented in Supplementary Table 1, a five-level tree with seven
257 terminal nodes was derived by CART to differentiate ADHD affected individuals from unaffected in
258 our cohort of 120 nuclear families. Splitting nodes involved age at diagnosis, sex and traits 4 (numbers
259 from 20 to 1; Table 2), 44 (digits; Table 2), 45 (vocabulary; Table 2) and 47 (arithmetic; Table 2)
260 (Figure 2a). This predictive model was validated via RF and TreeNet, producing comparable results
261 (data not shown). Interestingly, these last four variables defining splitting nodes were also found to be
262 associated with ADHD and exhibited a significant heritability (that is, constitute endophenotypes; see
263 Table 2 and Figure 1c).

264 Out of the 408 individuals clinically assessed, 58% of them were diagnosed as ADHD affected
265 and 42% as unaffected (node 1, Figure 2a). In the first split, children < 14 years old have 87% chance
266 of being diagnosed with ADHD regardless of gender (terminal node 3, 37% of all sample), whilst those
267 ≥ 14 years have a 59% chance of being diagnosed as ADHD unaffected (node 2, 63% of total sample).
268 Within this node, individuals with more than 12 points in trait 44 (digits; Table 2) have a 70% chance
269 of being classified as ADHD unaffected (node 4; 38% of total sample), compared to 58% of being
270 ADHD affected (node 5, 25%). On the other hand, males with < 12 points in trait 44 (digits; Table 2)
271 and <14 years old have a 74% (terminal node 11, 12% of total sample) of being diagnosed as ADHD
272 affected. Likewise, females with <12 points in trait 44 (digits; Table 2), < 38 points in trait 45
273 (vocabulary; Table 2) and ≥ 12 points in trait 47 (arithmetic; Table 2) have a 91% chance of being
274 diagnosed as ADHD affected (terminal node 43; 3% of total sample) (Figure 2a; bottom). Finally,
275 females with <38 points in trait 45 (vocabulary; Table 2), ≥ 12 points in trait 47 (arithmetic; Table 2)

276 and <1.5 points in trait 4 (numbers from 20 to 1; Table 2) are classified as ADHD affected (terminal
277 node 85, 1% of all sample) (Figure 2a; bottom).

278 Figure 2b depicts the variable importance and Receiver Operating Characteristic (ROC) curves
279 for the CART strategy for the learn and test data sets. Although similar results were obtained with all
280 strategies, CART performed better than RF and TreeNet (Supplementary Figure 2). The performance
281 measures for the testing and learning data sets using the CART strategy are shown in Figure 2c (see
282 also Supplementary Table 1). For the learning data set, the estimated AUC was 81.5 (95%CI=77.6-
283 85.3), with values of 81.4 (95%CI=77.5-85.0) for the classification rate, a sensitivity of 82.5
284 (95%CI=76.7-88.0), specificity of 80.5 (95%CI=75.3-85.5) and precision of 86.1 (95%CI=81.6-90.7),
285 with overlapping 95%CI in most of these measures for the learning data set based on 10-fold cross-
286 validation (Figure 2c). Further analysis indicated that this predictive model outperforms that including
287 sex and gender only (Supplementary Figure 3). Altogether, these measures indicate substantial
288 predictive power of these cognitive endophenotypes to differentiate ADHD affected from ADHD
289 unaffected individuals.

290 **DISCUSSION**

291 The purpose of this study was to define cognitive endophenotypes in a set of nuclear families
292 ascertained from ADHD probands recruited from Barranquilla, Colombia. We characterized, by
293 clinical neuropsychology methods, visuoconstructional skills, visuooverbal memory, language,
294 executive function and intelligence domains. We found strong evidence that tasks of mental control,
295 language, and intelligence meet the criteria for endophenotypes. Despite the well-known limitations of
296 CART (that is, being a nonparametric technique, lacking the ability of forcing variables into the model,
297 and high variance across samples),(L. Breiman et al., 1984; Gordon, 2013; Hayes, Usami, Jacobucci,
298 & McArdle, 2015; Ojha, 2018) these clinical variables accurately predict the ADHD status in this
299 community (Figure 2 and Supplementary Figure 3). While we replicated endophenotypes described for
300 other Colombian -and in general Latino- communities, there were new neuropsychological
301 endophenotypes that perform as new major players in outlining ADHD and its neurobiological basis.
302 This new discovered endophenotypes might be specific, but the role they might play in other ADHD
303 cohorts and studies in other communities will define their importance.

304 The findings in this study can be framed from two perspectives. Firstly, there is strong evidence
305 supporting significant phenotypic differences between ADHD affected and unaffected individuals in
306 the cognitive domains of mental control (total score and numbers from 20 to 1 of the mental control
307 test), visuo motor skills (copy type, and scores of copy and evocation in ROCF), visuooverbal memory
308 (number of trials in the visuooverbal memory test), phonological and semantic verbal fluency (total
309 score of the verbal fluency test), as well as in language comprehension (Token test total score),

310 abstraction and problem solving (number of correct responses, total errors and conceptual level
311 responses in the WCTS), comprehension and verbal reasoning (analogies, vocabulary, digits span and
312 arithmetic, and comprehension subtests of the WISC-III/WAIS-III) and execution and perceptive
313 reasoning (figure completion, block design, symbol search and objects assembly subtests of the WISC-
314 III/WAIS-III)(Table 2 and Figure 1a). These results are not only consistent with other studies
315 evaluating potential ADHD cognitive endophenotypes,(Peskin et al., 2015; Pineda et al., 2011) but also
316 show how heterogeneous the ADHD phenotype is. In the mid-to-long term, these phenotypic
317 differences might allow researchers to dissect the spectrum of cognitive and behavioural phenotypes in
318 ADHD,(Cervantes-Henríquez, Acosta-López, Aguirre-Acevedo, Pineda-Álvarez, & Puentes Rozo,
319 2008; Puentes, 2009; Puentes-Rozo, Barcelo-Martinez, & Pineda, 2008) and contribute to the better
320 understanding of the aetiology, subtypes and severity of this neuropsychiatric condition.(E. J. Sonuga-
321 Barke, Dalen, Daley, & Remington, 2002)

322 Secondly, we also identified statistically significant heritability indexes in the domains of mental
323 control (numbers from 20 to 1 and abecedary errors), visuoverbal memory (differed evocation at 20),
324 phonological and semantic verbal fluency (total errors and perseverance), language comprehension
325 (Token test), verbal comprehension (vocabulary, analogies, arithmetic and digits span subtests of the
326 WISC-III/WAIS-III) and execution (incomplete figures and objects assembly subtests of the WISC-
327 III/WAIS-III) (Table 2 and Figure 1b). Although these findings are closely related to previous
328 findings,(Doyle et al., 2005; Peskin et al., 2015; Pineda et al., 2011; Pineda et al., 2007; Rommelse,
329 2008; Rommelse et al., 2008) and provide supporting evidence regarding the genetic component of

330 ADHD and how the offspring from parents affected with ADHD inherit this condition(Ramos-Quiroga,
331 Ribases-Haro, Bosch-Munso, Cormand-Rifa, & Casas, 2007), only the domains of attention, tasks of
332 verbal comprehension and some tasks of the execution scale in this Caribbean community are similar to
333 the findings in the Paisa genetic isolate,(Pineda et al., 2011; Pineda et al., 2007) and those of a recent
334 study in the Central Valley of Costa Rica,(Peskin et al., 2015) which reported high heritability values
335 for attention and verbal IQ. In this study we found that verbal comprehension tasks, but verbal IQ, are
336 highly heritable. It is intriguing how closely related the findings in the Paisa and this Costa Rican
337 communities are compared to those in our Caribbean families, and that, unlike endophenotypes that
338 were highly heritable in our sample, only two symptoms of the DSM-IV were so (Supplementary Table
339 3). This latter result supports the hypothesis that symptoms are not sufficient to determine genetic
340 effects and hereditary transmission in ADHD, and that other approaches are needed.(Acosta et al.,
341 2011)

342 The fact that several tasks of the Wechsler Intelligence Scale for Children (WISC; Table 2) but
343 not the IQ were found to be potential endophenotypes suggests the existence of different IQ profiles
344 among ADHD subtypes,(Pennington, 2006; Sonuga-Barke, Bitsakou, & Thompson, 2010; E. J.
345 Sonuga-Barke et al., 2002; E. J. Sonuga-Barke et al., 2008) and a potential association mainly with
346 attention as a processing system, with temporal processing(Castellanos & Tannock, 2002)and with
347 working memory, thus interfering with the normal learning processes and limiting the ability of
348 individuals to easily adapt to the environment. In this sense, intelligence may not be critically
349 compromised but diminished due to the aforementioned difficulties, and lead to learning disorders

350 (Castellanos & Tannock, 2002; Willcutt, Doyle, Nigg, Faraone, & Pennington, 2005). Temporal-
351 processing and working memory deficits are associated with ADHD,(Castellanos & Tannock, 2002)
352 which may partially explain why some attention and working memory tasks and not IQ resulted to be
353 potential endophenotypes in our sample. Tulsy, Saklofske & Zhu(Tulsy, Saklofske, & Zhu, 2003)
354 suggest that the IQ can be determined not only by cognitive aspects, but also by other motivational
355 factors. Thus, difficulties in attention and working memory are related to the cognitive and academic
356 impairment cluster observed in individuals with severe inattention than in individuals with
357 hyperactivity/impulsivity,(Castellanos & Tannock, 2002) which is consistent with the inattention
358 profile of our sample.

359 Although genetic factors are implicated in the aetiology of ADHD and its comorbidities,(Acosta
360 et al., 2011; Arcos-Burgos et al., 2002; Arcos-Burgos et al., 2010; Jain et al., 2011; Palacio et al., 2004)
361 environmental, epigenetic, cultural and educational factors may offer an explanation about the
362 heterogeneity of the disorder.(Acosta et al., 2004) The fact that the number of endophenotypes
363 compromising several cognitive domains in our sample is considerably less than the phenotypes
364 evaluated (Table 2), puts us one step closer to genetic factors explaining ADHD variability.(Doyle et
365 al., 2005) Studying distinctive ADHD profiles, such that the inattentive type in our sample, may
366 potentially lead to the identification of genetic factors and physiopathological processes underlying
367 ADHD. However, it is important to acknowledge that, given the multifactorial nature of ADHD, our
368 approach of comparing cognitive impairments in individuals with ADHD to impairments in unaffected
369 relatives is limited. As a complementary approach, we conducted a factor analysis and studied the

370 heritability of the derived factors (Supplementary Table 4 and Supplementary Figure 4). Interestingly,
371 only factors constructed from phonetic fluency tasks, semantic verbal fluency, WCST and WISC-
372 III/WAIS-III were found to be heritable.

373 Finding that some tasks of the WISC test were found to be heritable (Table 2 and Figure 1b) is
374 consistent with the postulate that individuals having a family history of ADHD increases susceptibility
375 to develop the condition as well as presenting major social and vocational difficulties than individuals
376 with no family history.(Arcos-Burgos et al., 2002; Bochud, 2012; Faraone et al., 1993; Lopera et al.,
377 1999; Lopez-Campo, Gomez-Betancur, Aguirre-Acevedo, Puerta, & Pineda, 2005; Peskin et al., 2015;
378 Pineda et al., 2011; Willcutt et al., 2005) We found significant heritability values in sustained visual
379 attention, speed of information processing and resolution of visuospatial subtests of the Wechsler scale
380 that might be used in genetic research of ADHD (i.e., fine-mapping and genome-wide linkage and
381 associaton studies) as efficient phenotypic indicators. Following this approach, new neurobiological
382 and genetic markers for ADHD can be defined and subsequently increase the power to detect genetic
383 loci conferring susceptibility to the disorder.(Mastronardi et al., 2016)

384 The importance of our findings can be summarised as follows. First, the study was performed in a
385 sample of 120 nuclear families from the metropolitan area of Barranquilla, Colombia, with at least one
386 individual affected with ADHD. These families have been clinically characterised using extensive
387 neuropsychological batteries during the last five years,(Pineda et al., 2016; Puentes Rozo, 2018) and
388 constitute, to the best of our knowledge, the largest collection of nuclear families with ADHD in South
389 America today. Because of their structure and admixture composition (~63% African descendants is

390 with a vast Amerindian contribution),(Barragán Duarte) these families constitute a powerful resource
391 for genetic studies of ADHD. Second, this is one of few studies examining the heritability of cognitive
392 measures as probable endophenotypes(Kuntsi et al., 2010) and might be useful to support future
393 molecular studies aiming to uncover the final causes of ADHD. Future studies will include conducting
394 linkage and association genetic analysis between common, rare and functional exomic variants to these
395 cognitive endophenotypes, and possibly deep sequencing of genes harbouring these variants in this set
396 of families. This will be crucial for accurate diagnostic, treatment, improve long-term outcomes and for
397 outlining public health policies.(Arango-Dávila, Rojas, & Moreno, 2008; Posada, 2013)

398 **Acknowledgements**

399 We express our highest appreciation to all families enrolled in this study. This study was financed by
400 COLCIENCIAS, project “*Fenotipos Complejos y Endofenotipos del Trastorno por Déficit de Atención*
401 *e Hiperactividad y su Asociación con Genes Mayores y de Susceptibilidad*”, grant 1253-5453-1644,
402 contract RC 384-2011 conferred to Grupo de Neurociencias del Caribe, Universidad Simón Bolívar,
403 Barranquilla. MLC-H, JAL and EM-S are doctoral students at Universidad del Norte, Barranquilla,
404 Colombia, Universidad Maimónides in Buenos Aires, Argentina and Universidad De Flores in Buenos
405 Aires, Argentina. Some of this work is to be presented in partial fulfilment of the requirements for the
406 PhD degree. The sponsor of the study has no role in the study design, data collection, data analysis,
407 data interpretation, or writing of the paper. MLC-H, JAL, JIV and PPR have full access to all the data
408 in the study and are responsible for submitting this work for publication.

409 **Compliance with Ethical Standards**

410 The authors assert that all procedures contributing to this work have been performed in accordance
411 with the ethical standards laid down in the 1964 Declaration of Helsinki and its later amendments. The
412 views and opinions expressed in this article are those of the authors and should not be construed to
413 represent the views of any of the sponsoring organizations, agencies, or governments.

414 **Conflict of interest**

415 None of the authors of this paper has a financial or personal relationship with other people or
416 organizations that could inappropriately influence or bias the content of the paper.

417 **TABLES**

418 Table 1. Demographic characteristics of 408 individuals included in this study.

	Affected <i>n</i> =236	Unaffected <i>n</i> =172	Statistic index	<i>P</i>	Effect Size
<i>Gender</i>	Frequency (%)	Frequency (%)	χ^2		
Male	161 (68.22)	72 (41.86)	27.156	<0.00001	-
Female	75 (31.78)	100 (58.14)			
	Mean (SD)	Mean (SD)	Mann-Whitney's <i>U</i>		
Age	21.14 (12.15)	34.19 (15.4)	29746	<0.0001	0.941

419

420 Table 2. Performance on neurological and neuropsychological tasks of 408 individuals from the
 421 Colombian Caribbean.

#	Task	Affected (n=236)	Unaffected (n=172)	<i>d</i>	<i>P</i>	Heritability	
						<i>h</i> ² (SE)	<i>P</i>
	Mental Control	Mean (SD)	Mean (SD)				
1	Total score 9/9	4.44 (2.54)	5.93 (2.17)	0.624	0.028	0.182 (0.117)	0.059
2	Time – Numbers from 20 to 1	16.68 (14.49)	11.29 (6.28)	0.459	0.147	<i>a</i>	<i>a</i>
3	Errors – Numbers from 20 to 1	0.25 (1.56)	0.13 (0.47)	0.103	0.817	<i>a</i>	<i>a</i>
4	Score – Numbers from 20 to 1	2.13 (0.99)	2.55 (0.7)	0.483	0.034	0.351 (0.138)	0.006
5	Time – Abecedary	15.14 (15.78)	10.73 (9.18)	0.329	0.420	<i>a</i>	<i>a</i>
6	Errors – Abecedary	0.78 (2.06)	0.48 (1.36)	0.171	0.443	0.546 (0.089)	1.0x10 ⁻⁷
7	Score – Abecedary	1.43 (1.37)	2.12 (1.23)	0.525	0.192	<i>a</i>	<i>a</i>
8	Time – Counting	29.49 (24.42)	22 (12.18)	0.371	0.054	<i>a</i>	<i>a</i>
9	Errors – Counting	1.44 (2.84)	1.52 (2.99)	0.026	0.941	<i>a</i>	<i>a</i>
10	Score – Counting	0.84 (1.14)	1.22 (1.27)	0.319	0.237	<i>a</i>	<i>a</i>
	A Continuous Auditory Performance Test						
11	Correct answers	13.53 (3.01)	15.15 (1.53)	0.649	0.062	<i>a</i>	<i>a</i>
12	Omissions	2.32 (2.8)	0.85 (1.53)	0.626	0.083	<i>a</i>	<i>a</i>
13	Comissions	1.94 (2.03)	1.22 (1.59)	0.385	0.246	<i>a</i>	<i>a</i>
	Rey-Osterrieth Complex Figure						
14	Copy (type)	2.64 (1.53)	1.62 (0.94)	0.770	0.018	0.172 (0.119)	0.075
15	Copy (time)	192.02 (85.41)	165.08 (88.97)	0.310	0.855	<i>a</i>	<i>a</i>
16	Copy (score)	20.65 (8.49)	26.31 (6.5)	0.734	0.002	0.142 (0.137)	0.150
17	Evocation (time)	115.81 (53.56)	129.66 (56.92)	0.252	0.058	<i>a</i>	<i>a</i>
18	Evocation (score)	9.15 (6.05)	13.38 (6.16)	0.694	2.4x10 ⁻⁵	0.185 (0.115)	0.055
	Visuoverbal Memory						
19	Initial volume	6.44 (1.64)	6.66 (1.41)	0.142	0.123	0.141 (0.113)	0.105
20	Maximum volume 10/10	9.98 (0.16)	9.91 (0.81)	0.131	0.469	<i>a</i>	<i>a</i>
21	Number of trials	3.21 (1.69)	2.81 (1.09)	0.275	0.027	0.065 (0.109)	0.274
22	Organizational index	0.64 (0.35)	0.79 (0.77)	0.267	0.115	<i>a</i>	<i>a</i>
23	Differed evocation at 20	8.67 (1.82)	9.44 (1.32)	0.478	0.063	0.773 (0.064)	1.0x10 ⁻⁷
	Phonetic Fluency Tasks						

ADHD endophenotypes in a Caribbean Community

24	Total score	16.07 (14.02)	24.15 (17.99)	0.511	0.024	<i>a</i>	<i>a</i>
25	Total errors	2.57 (3.06)	2.76 (2.99)	0.065	0.466	0.765 (0.050)	1.0x10 ⁻⁷
26	Missing categories	11.6 (13.03)	13.53 (16.09)	0.134	0.768	<i>a</i>	<i>a</i>
27	Perseverance	0.92 (1.53)	1.18 (1.39)	0.177	0.418	0.653 (0.079)	1.0x10 ⁻⁷
	Semantic Verbal Fluency Test						
28	Total score	18.28 (15.27)	24.84 (18.4)	0.394	0.032	<i>a</i>	<i>a</i>
29	Total errors	1.44 (1.66)	1.49 (1.58)	0.033	0.362	0.264 (0.115)	0.011
30	Missing categories	22.8 (36.52)	18.55 (28.52)	0.128	0.305	<i>a</i>	<i>a</i>
31	Perseverance	0.8 (1.36)	0.81 (1.26)	0.013	0.640	0.669 (0.057)	1.0x10 ⁻⁷
32	Token Test 36/36	31.36 (3.8)	33.51 (2.68)	0.637	0.001	0.355 (0.124)	0.002
	Wisconsin Card Sorting Test						
33	Correct responses	73.46 (23.69)	80.9 (20.42)	0.333	0.014	<i>a</i>	<i>a</i>
34	Total errors	53.6 (23.21)	46.58 (20.34)	0.318	0.031	<i>a</i>	<i>a</i>
35	Nonperseverative errors	29.6 (20.17)	24.05 (15.64)	0.302	0.073	<i>a</i>	<i>a</i>
36	Perseverative errors	25.15 (22.58)	23.24 (13.33)	0.100	0.234	<i>a</i>	<i>a</i>
37	Categories	5.26 (18.6)	4.89 (2.92)	0.026	0.174	<i>a</i>	<i>a</i>
38	Perseverative errors (%)	21.41 (33.89)	19.43 (15.05)	0.072	0.885	<i>a</i>	<i>a</i>
39	Conceptual level responses	58.26 (29.81)	66.56 (27.4)	0.288	0.044	<i>a</i>	<i>a</i>
40	Conceptual level responses (%)	46.8 (23.67)	53.01 (20.91)	0.276	0.083	<i>a</i>	<i>a</i>
41	Failures to keep the principle	1.43 (1.52)	1.39 (1.28)	0.028	0.832	0.138 (0.107)	0.099
	WISC-III and WAIS-III subtests						
42	Digit Span Total – Forward	6.84 (1.73)	7.8 (1.92)	0.526	3.7x10 ⁻⁴	0.492 (0.107)	1.0x10 ⁻⁵
43	Digit Span Total – Backward	4.53 (1.88)	5.24 (1.87)	0.375	0.001	0.171 (0.102)	0.048
44	Total	11.32 (3.06)	13.12 (3.33)	0.564	1.6x10 ⁻⁵	0.416 (0.109)	6.8x10 ⁻⁵
45	Vocabulary	28.28 (10.63)	35.51 (10.99)	0.670	0.005	0.452 (0.126)	1.7x10 ⁻⁴
46	Comprehension	17.75 (6.27)	21.01 (5.88)	0.533	0.019	0.210 (0.107)	0.025
47	Arithmetic	12.94 (4.52)	12.87 (3.87)	0.016	0.007	0.365 (0.116)	0.001
48	Similarities	16.16 (6.98)	20.55 (5.89)	0.671	0.002	0.366 (0.130)	0.003
49	Figure completion	18.81 (4.86)	20.58 (3.45)	0.410	0.036	0.235 (0.133)	0.039
50	Block design	30.89 (14.28)	37.99 (12.86)	0.518	1.8x10 ⁻⁴	<i>a</i>	<i>a</i>
51	Symbol search	21.45 (8.73)	25.96 (8.81)	0.514	0.015	0.176 (0.133)	0.094
52	Objects assembly	25.56 (8.8)	29.92 (9.13)	0.488	0.012	0.323 (0.132)	0.007
	Intelligence Quotient (IQ)						
53	Verbal	98.51 (16.81)	97.44 (12.33)	0.071	0.078	<i>a</i>	<i>a</i>

ADHD endophenotypes in a Caribbean Community

54	Performance	100.98 (16.69)	101.42 (11.45)	0.030	0.128	<i>a</i>	<i>a</i>
55	Full scale	99.15 (16.85)	98.86 (12.14)	0.019	0.040	<i>a</i>	<i>a</i>

422 ^a Parameter could not be maximized in SAGE. ^b Corrected for gender and age. ^c Corrected for ADHD
423 status, gender and age. *d*: Cohen's effect size; *h*²: heritability estimated value; SE: Standard error.
424 Potential endophenotypes are highlighted in blue. *P*-values < 0.05 are shown in bold. Task numbers
425 highlighted in red are included in the predictive model for ADHD status (see Figure 2).

426 **FIGURE LEGENDS**

427

428 Figure 1. Neurological and neuropsychological where (a) ADHD affected individuals differed from
429 unaffected individuals; (b) genetic effects and hereditary transmission are present; and (c) fulfill the
430 requirements to be considered as potential endophenotypes. Displayed numbers correspond to task # in
431 Table 2.

432

433 Figure 2. Classification tree for predicting ADHD status in individuals from the Colombian Caribbean.
434 Numbers within white squares represent the node number, the first line corresponds to the most
435 frequent class (0: unaffected; 1: ADHD affected), the second line to the probability of each class within
436 the node, and the third line to the percentage of the total sample size ($n=408$) within each node. Nodes
437 where ADHD affected individuals are more likely to be classified are shown in blue. (b) Variable
438 importance (left) and ROC curve (Ando, Ono, & Wright) for the CART strategy. Displayed numbers
439 correspond to task # in Table 2. (c) Performance measures for the learning (blue) and test (pink) data
440 sets. AUC: Area under the curve; CART: Classification and regression tree; CI: confidence interval;
441 CR: Classification rate; ROC: Receiver operating characteristic.

442 **REFERENCES**

- 443 Acosta, M. T., Arcos-Burgos, M., & Muenke, M. (2004). Attention deficit/hyperactivity disorder
444 (ADHD): complex phenotype, simple genotype? *Genet Med*, *6*(1), 1-15.
445 doi:10.1097/01.GIM.0000110413.07490.0B
- 446 Acosta, M. T., Velez, J. I., Bustamante, M. L., Balog, J. Z., Arco-Burgos, M., & Muenke, M. (2011). A
447 two-locus genetic interaction between LPHN3 and 11q predicts ADHD severity and long-term
448 outcome. *Translational Psychiatry*.
- 449 Acosta-Lopez, J., Cervantes-Henriquez, M., L., Jiménez-Figueroa, G., M., N., M., S., & P., P. (2013).
450 Uso de una escala comportamental Wender Utah para evaluar en retrospectiva trastorno de
451 atención-hiperactividad en adultos de la ciudad de Barranquilla. *La Revista Universidad y*
452 *Salud*, *15*, 45-61.
- 453 Acosta-López, J., Cervantes-Henríquez, M., Sánchez-Rojas, M., Núñez-Barragán, M., Puentes Rozo,
454 P., Aguirre-Acevedo, D., & Pineda, D. (2010). Alteraciones del Control Inhibitorio Conductual
455 en Niños de 6 A 11 Años Con TDAH Familiar de Barranquilla. *psicogente*, *13*(24), 274-291.
- 456 Ando, J., Ono, Y., & Wright, M. J. (2001). Genetic structure of spatial and verbal working memory.
457 *Behav Genet*, *31*(6), 615-624.
- 458 APA. (2000). Diagnostic and statistical manual of mental disorders (DSM). *Washington, DC:*
459 *American psychiatric association, 4th ed.,.*
- 460 Arango-Dávila, C., A., Rojas, J., & Moreno, M. (2008). Análisis de los aspectos asociados a la
461 enfermedad mental en Colombia y la formación en psiquiatría. *Revista colombiana de*
462 *psiquiatría*, *37*(4), 538-563.
- 463 Arcos-Burgos, M., Castellanos, F. X., Lopera, F., Pineda, D., Palacio, J. D., Garcia, M., . . . Muenke,
464 M. (2002). Attention-deficit/hyperactivity disorder (ADHD): feasibility of linkage analysis in a
465 genetic isolate using extended and multigenerational pedigrees. *Clin Genet*, *61*(5), 335-343.
466 doi:cge610503 [pii]
- 467 Arcos-Burgos, M., Jain, M., Acosta, M. T., Shively, S., Stanescu, H., Wallis, D., . . . Muenke, M.
468 (2010). A common variant of the latrophilin 3 gene, LPHN3, confers susceptibility to ADHD
469 and predicts effectiveness of stimulant medication. *Molecular psychiatry*, *15*(11), 1053-1066.
470 doi:mp20106 [pii]10.1038/mp.2010.6
- 471 Arcos-Burgos, M., & Muenke, M. (2002). Genetics of population isolates. *Clinical genetics*, *61*(4),
472 233-247.
- 473 Barkley. (1997). Attention-deficit/hyperactivity disorder, self-regulation, and time: toward a more
474 comprehensive theory. *J Dev Behav Pediatr*, *18*(4), 271-279.
- 475 Barragán Duarte, J. L. Mapa genético de los colombianos [Colombian genetic map]. Retrieved from
476 <http://historico.unperiodico.unal.edu.co/ediciones/105/15.html>
- 477 Barragán-Duarte, J. L. (2007). Mapa genético de los colombianos. *UN Periódico*, 105.
- 478 Biederman, J., & Faraone, S. V. (2005). Attention-deficit hyperactivity disorder. *Lancet*, *366*(9481),
479 237-248. doi:10.1016/S0140-6736(05)66915-2

- 480 Bochud, M. (2012). Estimating heritability from nuclear family and pedigree data. *Statistical Human*
481 *Genetics: Methods and Protocols*, 171-186.
- 482 Breiman, L. (2001). Random Forests. In R. E. Schapire (Ed.), *Machine Learning* (Vol. 45, pp. 5-32).
483 Statistics Department, University of California, Berkeley, CA 94720: Kluwer Academic
484 Publishers. Manufactured in The Netherlands.
- 485 Breiman, L., Friedman, J. H., Olshen, R. A., & Stone, C. H. (1984). *Classification and Regression*
486 *Trees*. Belmont, CA: Wadsworth International Group, Inc.
- 487 Brotman, M. A., Guyer, A. E., Lawson, E. S., Horsey, S. E., Rich, B. A., Dickstein, D. P., . . .
488 Leibenluft, E. (2008). Facial emotion labeling deficits in children and adolescents at risk for
489 bipolar disorder. *Am J Psychiatry*, 165(3), 385-389. doi:10.1176/appi.ajp.2007.06122050
- 490 Bukstein, O. G. (2012). Attention deficit hyperactivity disorder and substance use disorders. *Current*
491 *topics in behavioral neurosciences*, 9, 145-172. doi:10.1007/7854_2011_148
- 492 Cannon, T. D., Gasperoni, T. L., van Erp, T. G., & Rosso, I. M. (2001). Quantitative neural indicators
493 of liability to schizophrenia: implications for molecular genetic studies. *Am J Med Genet*,
494 105(1), 16-19.
- 495 Castellanos, F. X., & Tannock, R. (2002). Neuroscience of attention-deficit/hyperactivity disorder: the
496 search for endophenotypes. *Nat Rev Neurosci*, 3(8), 617-628. doi:10.1038/nrn896
- 497 Cervantes-Henríquez, M., Acosta-López, J., Aguirre-Acevedo, D., Pineda-Álvarez, D., & Puentes
498 Rozo, P. (2008). Fenotipo comportamental evaluado con una escala multidimensional de la
499 conducta en niños y adolescentes de 30 familias con trastorno de atención-hiperactividad. *Acta*
500 *Neurol Colomb*, 24, 53-62.
- 501 Doyle, A. E., Faraone, S. V., Seidman, L. J., Willcutt, E. G., Nigg, J. T., Waldman, I. D., . . .
502 Biederman, J. (2005). Are endophenotypes based on measures of executive functions useful for
503 molecular genetic studies of ADHD? *J Child Psychol Psychiatry*, 46(7), 774-803.
504 doi:10.1111/j.1469-7610.2005.01476.x
- 505 DSM-IV. (2002). *Manual Diagnóstico y Estadístico de los Trastornos Mentales: Texto Revisado*:
506 Masson.
- 507 Elston, R. C., & Gray-McGuire, C. (2004). A review of the 'Statistical Analysis for Genetic
508 Epidemiology' (S.A.G.E.) software package. *Hum Genomics*, 1(6), 456-459.
- 509 Elston, R. C., Satagopan, J. M., & Sun, S. (2012). Genetic terminology. *Methods Mol Biol*, 850, 1-9.
510 doi:10.1007/978-1-61779-555-8_1
- 511 Elston, R. C., Satagopan, J. M., & Sun, S. (2012). *Statistical human genetics*: Humana Press;.
512 Faraone, S. V., Biederman, J., Lehman, B. K., Keenan, K., Norman, D., Seidman, L. J., . . . Chen, W. J.
513 (1993). Evidence for the independent familial transmission of attention deficit hyperactivity
514 disorder and learning disabilities: results from a family genetic study. *Am J Psychiatry*, 150(6),
515 891-895. doi:10.1176/ajp.150.6.891
- 516 Flint, J., & Munafò, M. R. (2007). The endophenotype concept in psychiatric genetics. *Psychol Med*,
517 37(2), 163-180. doi:10.1017/S0033291706008750
- 518 Franke, B., Vasquez, A. A., Johansson, S., Hoogman, M., Romanos, J., Boreatti-Hummer, A., . . . Reif,
519 A. (2010). Multicenter analysis of the SLC6A3/DAT1 VNTR haplotype in persistent ADHD

- 520 suggests differential involvement of the gene in childhood and persistent ADHD.
521 *Neuropsychopharmacology*, 35(3), 656-664. doi:10.1038/npp.2009.170
- 522 Friedman, J. H. (1999). *Greedy Function Approximation: a Gradient Boosting Machine*. Retrieved
523 from Stanford:
- 524 Golden, C. J. (1999). *Stroop Test de Colores y Palabras: Manual de aplicación* (M. TEA Ediciones,
525 España Ed.).
- 526 Gordon, L. (2013). *Using Classification and Regression Trees (CART) in SAS® Enterprise Miner For*
527 *Applications in Public Health*. Paper presented at the SAS Global Forum 2013: Data Mining
528 and Text Analytics.
- 529 Gottesman, II, & Gould, T. D. (2003). The endophenotype concept in psychiatry: etymology and
530 strategic intentions. *Am J Psychiatry*, 160(4), 636-645. doi:10.1176/appi.ajp.160.4.636
- 531 Gottesman, II, & Shields, J. (1967). A polygenic theory of schizophrenia. *Proc Natl Acad Sci U S A*,
532 58(1), 199-205.
- 533 Hayes, T., Usami, S., Jacobucci, R., & McArdle, J. J. (2015). Using Classification and Regression
534 Trees (CART) and Random Forests to Analyze Attrition: Results From Two Simulations.
535 *Psychol Aging*, 30(4), 911-929. doi:<https://dx.doi.org/10.1037%2Fpag0000046>
- 536 Heaton, R. K., Avitable, N., Grant, I., & Matthews, C. G. (1999). Further crossvalidation of regression-
537 based neuropsychological norms with an update for the Boston Naming Test. *J Clin Exp*
538 *Neuropsychol*, 21(4), 572-582. doi:10.1076/jcen.21.4.572.882
- 539 Henriquez-Henriquez, M. P., Billeke, P., Henriquez, H., Zamorano, F. J., Rothhammer, F., & Aboitiz,
540 F. (2014). Intra-Individual Response Variability Assessed by Ex-Gaussian Analysis may be a
541 New Endophenotype for Attention-Deficit/Hyperactivity Disorder. *Front Psychiatry*, 5, 197.
542 doi:10.3389/fpsy.2014.00197
- 543 Hwang-Gu, S. L., & Gau, S. S. (2015). Interval timing deficits assessed by time reproduction dual tasks
544 as cognitive endophenotypes for attention-deficit/hyperactivity disorder. *PLoS One*, 10(5),
545 e0127157. doi:10.1371/journal.pone.0127157
- 546 Jain, M., Velez, J. I., Acosta, M. T., Palacio, L. G., Balog, J., Roessler, E., . . . Muenke, M. (2011). A
547 cooperative interaction between LPHN3 and 11q doubles the risk for ADHD. *Molecular*
548 *psychiatry*. doi:10.1038/mp.2011.59
- 549 John, B., & Lewis, K. R. (1966). Chromosome variability and geographic distribution in insects.
550 *Science*, 152(3723), 711-721. doi:10.1126/science.152.3723.711
- 551 Khodiyar, V. K., Maltais, L. J., Ruef, B. J., Sneddon, K. M., Smith, J. R., Shimoyama, M., . . .
552 Lovering, R. C. (2007). A revised nomenclature for the human and rodent alpha-tubulin gene
553 family. *Genomics*, 90(2), 285-289. doi:10.1016/j.ygeno.2007.04.008
- 554 Kuntsi, J., Wood, A. C., Rijdsdijk, F., Johnson, K. A., Andreou, P., Albrecht, B., . . . Asherson, P.
555 (2010). Separation of cognitive impairments in attention-deficit/hyperactivity disorder into 2
556 familial factors. *Arch Gen Psychiatry*, 67(11), 1159-1167.
557 doi:10.1001/archgenpsychiatry.2010.139
- 558 Lee Gregory, M., Burton, V. J., Shapiro, B. K., Rowland, L. P., & Coyle, J. T. (2015). Developmental
559 Disabilities and Metabolic Disorders. In *Neurobiology of Brain Disorders* (pp. 18-41).

- 560 Lopera, F., Palacio, L. G., Jimenez, I., Villegas, P., Puerta, I. C., Pineda, D., . . . Arcos-Burgos, M.
561 (1999). [Discrimination between genetic factors in attention deficit]. *Rev Neurol*, 28(7), 660-
562 664.
- 563 Lopez-Campo, G. X., Gomez-Betancur, L. A., Aguirre-Acevedo, D. C., Puerta, I. C., & Pineda, D. A.
564 (2005). [Attention and executive function tests components in attention deficit/hyperactivity
565 children]. *Rev Neurol*, 40(6), 331-339.
- 566 Mastronardi, C. A., Pillai, E., Pineda, D. A., Martinez, A. F., Lopera, F., Velez, J. I., . . . Arcos-Burgos,
567 M. (2016). Linkage and association analysis of ADHD endophenotypes in extended and
568 multigenerational pedigrees from a genetic isolate. *Molecular psychiatry*, 21(10), 1434-1440.
569 doi:10.1038/mp.2015.172
- 570 Miller, G. A., & Rockstroh, B. S. (2016). The Neurobiology of Schizophrenia. In (pp. 17-38).
- 571 Nigg, J. T. (2001). Is ADHD a disinhibitory disorder? *Psychol Bull*, 127(5), 571-598.
- 572 Ojha, A. K. (2018). Use a Classification and Regression Tree (CART) for Quick Data Insights.
573 Retrieved from [https://www.isixsigma.com/methodology/lean-methodology/use-a-](https://www.isixsigma.com/methodology/lean-methodology/use-a-classification-and-regression-tree-cart-for-quick-data-insights/)
574 [classification-and-regression-tree-cart-for-quick-data-insights/](https://www.isixsigma.com/methodology/lean-methodology/use-a-classification-and-regression-tree-cart-for-quick-data-insights/)
- 575 Osterriech, P. A. (1944). Le test de copie d'une figure complexe. *Archives de Psychologie*, 30, 206-
576 356.
- 577 Palacio, J. D., Castellanos, F. X., Pineda, D. A., Lopera, F., Arcos-Burgos, M., Quiroz, Y. T., . . .
578 Muenke, M. (2004). Attention-deficit/hyperactivity disorder and comorbidities in 18 Paisa
579 Colombian multigenerational families. *J Am Acad Child Adolesc Psychiatry*, 43(12), 1506-
580 1515. doi:S0890-8567(09)61384-8 [pii]10.1097/01.chi.0000142279.79805.dc
- 581 Pelham, W. E., Jr., & Fabiano, G. A. (2008). Evidence-based psychosocial treatments for attention-
582 deficit/hyperactivity disorder. *Journal of clinical child and adolescent psychology : the official*
583 *journal for the Society of Clinical Child and Adolescent Psychology, American Psychological*
584 *Association, Division 53*, 37(1), 184-214. doi:10.1080/15374410701818681
- 585 Pennington, B. F. (2006). From single to multiple deficit models of developmental disorders.
586 *Cognition*, 101(2), 385-413. doi:10.1016/j.cognition.2006.04.008
- 587 Peskin, V. A., Ordonez, A., Mackin, R. S., Delucchi, K., Monge, S., McGough, J. J., . . . Mathews, C.
588 A. (2015). Neuropsychological and dimensional behavioral trait profiles in Costa Rican ADHD
589 sib pairs: Potential intermediate phenotypes for genetic studies. *Am J Med Genet B*
590 *Neuropsychiatr Genet*, 168B(4), 247-257. doi:10.1002/ajmg.b.32305
- 591 Pineda, D. A., Acosta-López, J., Cervantes-Henríquez, M. L., Jiménez-Figueroa, G., Sánchez-Rojas,
592 M., Pineda-Alhucema, W., . . . Puentes Rozo, P. J. (2016). Conglomerados de clases latentes
593 en 408 miembros de 120 familias nucleares de Barranquilla con un caso índice afectado de
594 trastorno de atención hiperactividad (TDAH). *Acta Neurol Colomb*, 32(4), 275-284.
- 595 Pineda, D. A., Henao, G. C., Puerta, I. C., Mejia, S. E., Gomez, L. F., Miranda, M. L., . . . Murrelle, L.
596 (1999). [The use of brief questionnaire in the diagnosis of attention deficit. Study group of the
597 Manizales University Foundation]. *Rev Neurol*, 28(4), 365-372.

- 598 Pineda, D. A., Kamphaus, R. W., Mora, O., Restrepo, M. A., Puerta, I. C., Palacio, L. G., . . . Holguin,
599 J. A. (1999). [A system of multidimensional behavior assessment. A scale for parents of
600 children from 6 to 11 years of age. Colombian version]. *Rev Neurol*, 28(7), 672-681.
- 601 Pineda, D. A., Lopera, F., Puerta, I. C., Trujillo-Orrego, N., Aguirre-Acevedo, D. C., Hincapie-Henao,
602 L., . . . Arcos-Burgos, M. (2011). Potential cognitive endophenotypes in multigenerational
603 families: segregating ADHD from a genetic isolate. *Atten Defic Hyperact Disord*, 3(3), 291-
604 299. doi:10.1007/s12402-011-0061-3
- 605 Pineda, D. A., Palacio, L. G., Puerta, I. C., Merchan, V., Arango, C. P., Galvis, A. Y., . . . Arcos-
606 Burgos, M. (2007). Environmental influences that affect attention deficit/hyperactivity disorder:
607 study of a genetic isolate. *Eur Child Adolesc Psychiatry*, 16(5), 337-346. doi:10.1007/s00787-
608 007-0605-4
- 609 Pironti, V. A., Lai, M. C., Muller, U., Dodds, C. M., Suckling, J., Bullmore, E. T., & Sahakian, B. J.
610 (2014). Neuroanatomical abnormalities and cognitive impairments are shared by adults with
611 attention-deficit/hyperactivity disorder and their unaffected first-degree relatives. *Biol*
612 *Psychiatry*, 76(8), 639-647. doi:10.1016/j.biopsych.2013.09.025
- 613 Posada, J., A. (2013). La salud mental en Colombia. *Biomédica*, 33(4), 497-498.
- 614 Puentes, P. (2009). Neuropsicología de las funciones ejecutivas. *Barranquilla, Colombia: Ediciones*
615 *Universidad Simón Bolívar.[Links]*.
- 616 Puentes Rozo, P., Acosta-Lopez JE, Cervantes-Henriquez M, Martinez-Banfi,M, Lozano-Gutierrez, S,
617 Jimenez -Figuroa, G, Pineda- Alhucema, W, Mejia-Segura, E, Zurbaran, MA, Zurek EE,
618 Sanchez-Rojas M, Arcos-Burgos, M, Velez JI, Pineda, DA. (2018). Attention Deficit
619 /Hyperactivity Disorder and Comorbidities in 120 Nuclear Families from a Caribbean
620 Community (to be Submitted).
- 621 Puentes-Rozo, P. J., Barcelo-Martinez, E., & Pineda, D. A. (2008). Behavioural and
622 neuropsychological characteristics of children of both sexes, between 6 and 11 years of age,
623 with attention deficit hyperactivity disorder]. *Rev Neurol*, 47(4), 175-184.
- 624 Ramos-Quiroga, J. A., Ribases-Haro, M., Bosch-Munso, R., Cormand-Rifa, B., & Casas, M. (2007).
625 [Genetic advances in attention deficit hyperactivity disorder]. *Rev Neurol*, 44 Suppl 3, S51-52.
- 626 Rao, D. C. (1998). CAT scans, PET scans, and genomic scans. *Genetic epidemiology*, 15(1), 1-18.
627 doi:10.1002/(SICI)1098-2272(1998)15:1<1::AID-GEPI1>3.0.CO;2-B
- 628 Reich, W. (2000). Diagnostic interview for children and adolescents (DICA). *J Am Acad Child Adolesc*
629 *Psychiatry*, 39(1), 59-66. doi:S0890-8567(09)66101-3 [pii]10.1097/00004583-200001000-
630 00017
- 631 Reitan, R. (1958). The validity of the trail making test as an indicator of organic brain damage.[8: 271-
632 6]. 1958. *Perceptual and Motor skills*.
- 633 Reitan, R. M. (1955). The relation of the trail making test to organic brain damage. *J Consult Psychol*,
634 19(5), 393-394.
- 635 Reitan, R. M., & Wolfson, D. (1985). *The Halstead-Reitan neuropsychological test battery: Theory*
636 *and clinical interpretation* (Vol. 4): Reitan Neuropsychology.

- 637 Reitan, R. M., & Wolfson, D. (1995). Category Test and Trail Making Test as measures of frontal lobe
638 functions. *The Clinical Neuropsychologist*, 9(1), 50-56.
- 639 Reitan, R. M., & Wolfson, D. (2004). The Trail Making Test as an initial screening procedure for
640 neuropsychological impairment in older children. *Archives of Clinical Neuropsychology*, 19(2),
641 281-288.
- 642 Rhee, S. H., Waldman, I. D., Hay, D. A., & Levy, F. (1999). Sex differences in genetic and
643 environmental influences on DSM-III-R attention-deficit/hyperactivity disorder. *J Abnorm*
644 *Psychol*, 108(1), 24-41.
- 645 Rommelse, N. N. (2008). Endophenotypes in the genetic research of ADHD over the last decade: have
646 they lived up to their expectations? *Expert Rev Neurother*, 8(10), 1425-1429.
647 doi:10.1586/14737175.8.10.1425
- 648 Rommelse, N. N., Arias-Vasquez, A., Altink, M. E., Buschgens, C. J., Fliers, E., Asherson, P., . . .
649 Franke, B. (2008). Neuropsychological endophenotype approach to genome-wide linkage
650 analysis identifies susceptibility loci for ADHD on 2q21.1 and 13q12.11. *Am J Hum Genet*,
651 83(1), 99-105. doi:10.1016/j.ajhg.2008.06.006
- 652 Satterfield, J. H., Cantwell, D. P., & Satterfield, B. T. (1974). Pathophysiology of the hyperactive child
653 syndrome. *Arch Gen Psychiatry*, 31(6), 839-844.
- 654 Sibley, M. H., Pelham, W. E., Jr., Molina, B. S., Gnagy, E. M., Waschbusch, D. A., Garefino, A. C., . . .
655 . Karch, K. M. (2012). Diagnosing ADHD in adolescence. *Journal of consulting and clinical*
656 *psychology*, 80(1), 139-150. doi:10.1037/a0026577
- 657 Sonuga-Barke, Bitsakou, P., & Thompson, M. (2010). Beyond the dual pathway model: evidence for
658 the dissociation of timing, inhibitory, and delay-related impairments in attention-
659 deficit/hyperactivity disorder. *J Am Acad Child Adolesc Psychiatry*, 49(4), 345-355.
- 660 Sonuga-Barke, E. J., Dalen, L., Daley, D., & Remington, B. (2002). Are planning, working memory,
661 and inhibition associated with individual differences in preschool ADHD symptoms? *Dev*
662 *Neuropsychol*, 21(3), 255-272. doi:10.1207/S15326942DN2103_3
- 663 Sonuga-Barke, E. J., Sergeant, J. A., Nigg, J., & Willcutt, E. (2008). Executive dysfunction and delay
664 aversion in attention deficit hyperactivity disorder: nosologic and diagnostic implications. *Child*
665 *Adolesc Psychiatr Clin N Am*, 17(2), 367-384, ix. doi:10.1016/j.chc.2007.11.008
- 666 Tacchini, G., Coppola, M. T., Musazzi, A., Altamura, A. C., & Invernizzi, G. (1994). [Multinational
667 validation of the Composite International Diagnostic Interview (CIDI)]. *Minerva Psichiatr*,
668 35(2), 63-80.
- 669 Tulskey, D. S., Saklofske, D. H., & Zhu, J. (2003). Chapter 2 – Revising a Standard: An Evaluation of
670 the Origin and Development of the WAIS-III. In *Practical Resources for the Mental Health*
671 *Professional* (pp. 43-92): Academic Press.
- 672 Villalón, J. (2008). Colonias extranjerías en Barranquilla. *Barranquilla, Colombia*.
- 673 Visser, S., Bitsko, R., Danielson, M., & Perou, R. (2010). Increasing Prevalence of Parent-Reported
674 Attention-Deficit/Hyperactivity Disorder Among Children --- United States, 2003 and 2007.
675 *Mortality and Morbidity Weekly Report*, 59, 1439-1443.
- 676 Walters, J. T., & Owen, M. J. (2007). Endophenotypes in psychiatric genetics. *Molecular psychiatry*,
677 12(10), 886-890. doi:10.1038/sj.mp.4002068

- 678 Wechsler, D. (1955). *Wechsler Adult Intelligence Scale*. New York: The Psychological Corporation
679 Wechsler, D. (1991). *Test de Inteligencia para niños WISC-III*: PAIDOS, Buenos Aires.
680 Wechsler, D. (2003). *Escala Wechsler de Inteligencia para adultos-III*. 3° edición: Manual Moderno,
681 México.
682 Wechsler, D. (2004). *Escala de memoria de Wechsler-III*: Ediciones TEA, Madrid.
683 Willcutt, E. G., Betjemann, R. S., McGrath, L. M., Chhabildas, N. A., Olson, R. K., DeFries, J. C., &
684 Pennington, B. F. (2010). Etiology and neuropsychology of comorbidity between RD and
685 ADHD: the case for multiple-deficit models. *Cortex*, 46(10), 1345-1361.
686 doi:10.1016/j.cortex.2010.06.009
687 Willcutt, E. G., Doyle, A. E., Nigg, J. T., Faraone, S. V., & Pennington, B. F. (2005). Validity of the
688 executive function theory of attention-deficit/hyperactivity disorder: a meta-analytic review.
689 *Biol Psychiatry*, 57(11), 1336-1346. doi:10.1016/j.biopsych.2005.02.006
690 Willcutt, E. G., Pennington, B. F., Duncan, L., Smith, S. D., Keenan, J. M., Wadsworth, S., . . . Olson,
691 R. K. (2010). Understanding the complex etiologies of developmental disorders: behavioral and
692 molecular genetic approaches. *J Dev Behav Pediatr*, 31(7), 533-544.
693 doi:10.1097/DBP.0b013e3181ef42a1